



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/706,678	11/06/2000	Webster Hughes	4530-1

Brown Raysman Millstein Felder & Steiner LLP  
120 West 45th Street  
New York, NY 10036

## FORMALITIES LETTER



\*OC000000005739812\*

Date Mailed: 02/06/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 840.

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*A copy of this notice **MUST** be returned with the reply.*

  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

04/11/2001 SDENB0B1 00000061 09706678

01 FC:201	355.00 OP
02 FC:205	65.00 OP
03 FC:299	305.00 OP



Atty. Docket No.: 4530/1

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of : Hughes

Appln. No. : 09/706,678

Examiner : Unknown

Filed / : November 6, 2000

Group Art Unit: 2161

Title : METHOD AND SYSTEM FOR REBROKERING ORDERS IN A  
TRADING SYSTEM

BOX MISSING PARTS  
Assistant Commissioner for Patents  
Washington, D.C. 20231

**RESPONSE TO "NOTICE TO FILE MISSING  
PARTS OF APPLICATION--FILING DATE GRANTED"**

Sir:

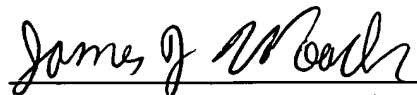
We have received a Notice To File Missing Parts of Application--Filing Dated  
Granted mailed on February 6, 2001. We are returning herewith a copy of that Notice and  
original executed declaration and power of attorney identifying the application by its serial  
number and filing date.

We are also enclosing herewith a check in the amount of \$725.00 which includes  
surcharge fee (\$65.00), and filing fee (\$660.00) for a small entity.

The Commissioner is hereby authorized to charge any additional fees which may  
be required or credit any overpayment to our Deposit Account No. 02-4270.

Respectfully submitted,

Dated: April 6, 2001

  
James W. Woods, Reg. No. 47,184  
BROWN RAYSMAN MILLSTEIN FELDER  
& STEINER LLP  
120 West Forty-Fifth Street  
New York, New York 10036  
(212) 944-1515

I hereby certify that this paper is being deposited this date with the  
U.S. Postal Service as First Class Mail addressed to:  
Assistant Commissioner for Patents, Washington, D.C. 20231

  
James W. Woods, Reg. No. 47,184

April 6, 2001  
Date